

2010 CONFLICT OF INTEREST CODE  
BIENNIAL REVIEW REPLY FORM

COUNTY OF SAN DIEGO  
BOARD OF SUPERVISORS

Contact Person: Karen Nielsen Telephone Number: 760 940-3348 2010 SEP 20 PM 2 50

Name of Agency: TriCity Healthcare District THOMAS J. PASTUSZKA  
CLERK OF THE BOARD

Mailing Address 4002 Vista Way, Oceanside, CA 92056 OF SUPERVISORS

This agency has reviewed its conflict of interest code and has determined that:

Amendments are necessary: (Attach Amended Code) ~~Revised~~  
(Check all that applies) (In preparation, To be submitted to Board of Trustees on September 30, 2010)

- Include new positions (including consultants) which must be designated
- Revise the titles of existing positions
- Delete titles of positions that have been abolished
- Delete positions that manage public investments
- Revise disclosure categories
- Other \_\_\_\_\_

No amendments are necessary. Our agency's code accurately designates all positions which make or participate in the making of governmental decisions; the disclosure assigned to those positions accurately requires the disclosure of all investments, business positions, interests in real property and sources of income which may foresee-ably be affected materially by the decision made by those designated positions; and the code includes all other provisions required by Government Code Section 87302.

Signature of Chief Executive Officer: Larry R. Andersen Date: 9-17-10

You must complete this report regardless of how recently your code was approved or amended. Please return this report no later than August 31, 2010 to:

Clerk of the Board of Supervisors  
(Conflict of Interest Code)  
1600 Pacific Highway, Room 402  
San Diego, CA 92101

Approved and/or authorized by the Board  
of Supervisors of the County of San Diego  
Date 10/9/10 Minute Order No. 9  
THOMAS J. PASTUSZKA  
Clerk of the Board of Supervisors  
Thomas J. Pastuszka  
Duty Clerk

**RESOLUTION NO. 732**

**A RESOLUTION OF THE BOARD OF DIRECTORS  
OF TRICITY HEALTHCARE DISTRICT  
AMENDING CONFLICT OF INTEREST CODE**

**WHEREAS**, the Tri-City Healthcare District ("District") is required to periodically review its Conflict of Interest Code pursuant to Government Code Section 87306.5; and

**WHEREAS**, Board of Directors has determined that changes in its code should be adopted to accurately reflect the District's current organizational structure, and that these changes are nonsubstantive, as defined in Cal. Code Regs., tit. 2, § 18752; and

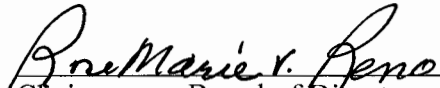
**WHEREAS**, the District has previously adopted the model code of the Fair Political Practices Commission, as set for in Cal. Code Regs. tit. 2, §18730 ("Model Code"), as amended from time to time, by reference; and


**NOW, THEREFORE, BE IT RESOLVED** by the Board of Directors of the District:

1. An amended Appendix to the Conflict of Interest Code, in the form attached hereto as Exhibit A, and incorporated herein by reference, along with the Model Code, is hereby tentatively adopted and promulgated.
2. The amended Conflict of Interest Code shall become effective immediately upon its approval by the San Diego County Board of Supervisors as the code-reviewing body.
3. The Chief Executive Officer of the District is hereby directed and authorized to submit a certified copy of this resolution to the San Diego County Board of Supervisors for approval.

APPROVED AND ADOPTED this 30th day of September, 2010.

AYES: Anderson, Coulter, Mitchell, Landis, Reno, Schallock  
NOES: None  
ABSENT: Sterling  
ABSTAIN: None

  
\_\_\_\_\_  
Chairperson, Board of Directors  
Tri-City Healthcare District.

ATTEST:  
  
\_\_\_\_\_  
Secretary, Board of Directors  
Tri-City Healthcare District

**APPENDIX**  
**CONFLICT OF INTEREST CODE**  
**OF THE**  
**TRI-CITY HEALTHCARE DISTRICT**

**(Proposed September 2010; last amended July 2008)**

**EXHIBIT "A"**

**OFFICIALS WHO MANAGE PUBLIC INVESTMENTS**

District Officials who manage public investments, as defined by 2 Cal. Code of Regs. § 18701(b), are NOT subject to the District's Code, but are subject to the disclosure requirements of the Act. (Government Code Section 87200 et seq.). [Regs. § 18730(b)(3)] These positions are listed here for informational purposes only.

It has been determined that the positions listed below are officials who manage public investments<sup>1</sup>:

Members of the Board of Directors

President/Chief Executive Officer

Vice President/Chief Financial Officer

Financial Consultants

**DESIGNATED POSITIONS**

**GOVERNED BY THE CONFLICT OF INTEREST CODE**

<b><u>DESIGNATED EMPLOYEES'</u></b> <b><u>TITLE OR FUNCTION</u></b>	<b><u>DISCLOSURE</u></b> <b><u>CATEGORIES ASSIGNED</u></b>
Buyer/Purchaser (ALL)	5
Compliance Officer/Senior Vice President, Legal Affairs and Chief Compliance Officer	6,1,2
Director of Facilities	5
Director of Finance	1, 2

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<sup>1</sup> Individuals holding one of the above-listed positions may contact the FPPC for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The FPPC makes the final determination whether a position is covered by § 87200.

Director of Materials Management	5
Director of Program Development	1
Directors (ALL others not specified)	6
Executive Vice President and Chief Operating Officer	1, 2
Facilities Manager	6
General Legal Counsel	1, 2
Materials Manager	5
Operations Manager, Food and Nutrition	6
Vice President, Strategic ServicesIntegrations	1, 2
Vice President (seated on Management Team)Support Services; Business Development and Marketing	1, 2
Vice President, Foundation (if not on Management Team)Chief Development Officer)	6
Vice President,Chief Human Resources Officer(if not on Management Team)	6
Vice President, Operations/ Chief Nurse Executive (if not on Management Team)	5
Vice President, Performance Improvement (if not on Management Team)Operational Improvement and Service Excellence	5
Consultant <sup>2</sup>	

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<sup>2</sup> Consultants shall be included in the list of Designated Employees and shall disclose pursuant to the broadest disclosure category in this Code subject to the following limitation:

The Chief Executive Officer may determine in writing that a particular consultant, although a “designated position,” is hired to perform a range of duties that are limited in scope and thus is not required to fully comply with the disclosure requirements described in this Section. Such written determination shall include a description of the consultant’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Chief Executive Officer’s determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

**EXHIBIT "B"**

**DISCLOSURE CATEGORIES**

The disclosure categories listed below identify the types of investments, business entities, sources of income, including gifts, loans and travel payments, or real property which the Designated Employee must disclose for each disclosure category to which he or she is assigned.

Category 1: All investments and business positions in business entities, and sources of income that are located in, do business in or own real property within the jurisdiction of the District.

Category 2: All interests in real property which is located in whole or in part within, or not more than two (2) miles outside, the jurisdiction of the District.

Category 3: All investments and business positions in, and sources of income from, business entities that are engaged in land development, construction or the acquisition or sale of real property within the jurisdiction of the District.

Category 4: All investments and business positions in, and sources of income from, business entities that are banking, savings and loan, or other financial institutions.

Category 5: All investments and business positions in, and sources of income from, business entities that provide services, supplies, materials, machinery, vehicles or equipment of a type purchased or leased by the District.

Category 6: All investments and business positions in, and sources of income from, business entities that provide services, supplies, materials, machinery, vehicles or equipment of a type purchased or leased by the Designated Employee's Department.

**APPENDIX**  
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It has been determined that the positions listed below are officials who manage public investments<sup>1</sup>:

- Members of the Board of Directors
- President/Chief Executive Officer
- Vice President/Chief Financial Officer
- Financial Consultants

**DESIGNATED POSITIONS**

**GOVERNED BY THE CONFLICT OF INTEREST CODE**

<b><u>DESIGNATED EMPLOYEES'</u></b> <b><u>TITLE OR FUNCTION</u></b>	<b><u>DISCLOSURE</u></b> <b><u>CATEGORIES ASSIGNED</u></b>
Buyer/Purchaser (ALL)	5
Senior Vice President, Legal Affairs and Chief Compliance Officer	1,2
Director of Facilities	5
Director of Finance	1, 2

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<sup>1</sup> Individuals holding one of the above-listed positions may contact the FPPC for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The FPPC makes the final determination whether a position is covered by § 87200.

Director of Materials Management	5
Director of Program Development	1
Directors (ALL others not specified)	6
<u>Executive Vice President and Chief Operating Officer</u>	<u>1, 2</u>
Facilities Manager	6
General Legal Counsel	1, 2
Materials Manager	5
Operations Manager, Food and Nutrition	6
<u>Vice President, Strategic Integrations</u>	<u>1, 2</u>
<u>Vice President Support Services, Business Development and Marketing</u>	<u>1, 2</u>
<u>Chief Development Officer</u>	<u>6</u>
<u>Chief Human Resources Officer</u>	<u>6</u>
<u>Chief Nurse Executive</u>	<u>5</u>
<u>Vice President, Operational Improvement and Service Excellence</u>	<u>5</u>
Consultant <sup>2</sup>	

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**Deleted: Vice President, Operations/**

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**Deleted: Performance Improvement (if not on Management Team)**

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